

United States Bankruptcy Court
Northern District of California

In re Pelikan Technologies, Inc.

Debtor

Case No. _____

Chapter 7

SUMMARY OF SCHEDULES

Indicate as to each schedule whether that schedule is attached and state the number of pages in each. Report the totals from Schedules A, B, D, E, F, I, and J in the boxes provided. Add the amounts from Schedules A and B to determine the total amount of the debtor's assets. Add the amounts of all claims from Schedules D, E, and F to determine the total amount of the debtor's liabilities. Individual debtors also must complete the "Statistical Summary of Certain Liabilities and Related Data" if they file a case under chapter 7, 11, or 13.

NAME OF SCHEDULE	ATTACHED (YES/NO)	NO. OF SHEETS	ASSETS	LIABILITIES	OTHER
A - Real Property	YES	1	\$ 0.00		
B - Personal Property	YES	3	\$ 5,000.00		
C - Property Claimed as Exempt	NO				
D - Creditors Holding Secured Claims	YES	1		\$ 0.00	
E - Creditors Holding Unsecured Priority Claims (Total of Claims on Schedule E)	YES	2		\$ 0.00	
F - Creditors Holding Unsecured Nonpriority Claims	YES	5		\$ 13,573,095.22	
G - Executory Contracts and Unexpired Leases	YES	1			
H - Codebtors	YES	1			
I - Current Income of Individual Debtor(s)	NO	0			\$
J - Current Expenditures of Individual Debtor(s)	NO	0			\$
TOTAL		14	\$ 5,000.00	\$ 13,573,095.22	

In re: Pelikan Technologies, Inc.

Debtor

Case No. _____

(if known)

SCHEDULE A - REAL PROPERTY

DESCRIPTION AND LOCATION OF PROPERTY	NATURE OF DEBTOR'S INTEREST IN PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION	AMOUNT OF SECURED CLAIM
NONE				
Total			0.00	

(Report also on Summary of Schedules.)

In re Pelikan Technologies, Inc.

Case No. _____

Debtor

(If known)

SCHEDULE B - PERSONAL PROPERTY

TYPE OF PROPERTY	NONE	DESCRIPTION AND LOCATION OF PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION
1. Cash on hand	X			
2. Checking, savings or other financial accounts, certificates of deposit, or shares in banks, savings and loan, thrift, building and loan, and homestead associations, or credit unions, brokerage houses, or cooperatives.		Certificate of Deposit (collateral for Corporate Credit Card)		1,000.00
Checking, savings or other financial accounts, certificates of deposit, or shares in banks, savings and loan, thrift, building and loan, and homestead associations, or credit unions, brokerage houses, or cooperatives.		Silicon Valley Bank Checking & Money Market		4,000.00
3. Security deposits with public utilities, telephone companies, landlords, and others.	X			
4. Household goods and furnishings, including audio, video, and computer equipment.	X			
5. Books, pictures and other art objects, antiques, stamp, coin, record, tape, compact disc, and other collections or collectibles.	X			
6. Wearing apparel.	X			
7. Furs and jewelry.	X			
8. Firearms and sports, photographic, and other hobby equipment.	X			
9. Interests in insurance policies. Name insurance company of each policy and itemize surrender or refund value of each.	X			
10. Annuities. Itemize and name each issuer.	X			
11. Interests in an education IRA as defined in 26 U.S.C. § 530(b)(1) or under a qualified State tuition plan as defined in 26 U.S.C. § 529(b)(1). Give particulars. (File separately the record(s) of any such interest(s). 11 U.S.C. § 521(c).)	X			
12. Interests in IRA, ERISA, Keogh, or other pension or profit sharing plans. Give particulars.	X			
13. Stock and interests in incorporated and unincorporated businesses. Itemize.		Pelican Technologies GmbH Co.		0.00
14. Interests in partnerships or joint ventures. Itemize.	X			
15. Government and corporate bonds and other negotiable and nonnegotiable instruments.	X			
16. Accounts receivable.	X			

In re Pelikan Technologies, Inc.

Debtor

Case No. _____

(If known)

SCHEDULE B - PERSONAL PROPERTY

(Continuation Sheet)

TYPE OF PROPERTY	NONE	DESCRIPTION AND LOCATION OF PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION
17. Alimony, maintenance, support, and property settlements to which the debtor is or may be entitled. Give particulars.	X			
18. Other liquidated debts owed to debtor including tax refunds. Give particulars.	X			
19. Equitable or future interests, life estates, and rights or powers exercisable for the benefit of the debtor other than those listed in Schedule A - Real Property.	X			
20. Contingent and noncontingent interests in estate of a decedent, death benefit plan, life insurance policy, or trust.	X			
21. Other contingent and unliquidated claims of every nature, including tax refunds, counterclaims of the debtor, and rights to setoff claims. Give estimated value of each.	X			
22. Patents, copyrights, and other intellectual property. Give particulars.		See Attachment.		0.00
23. Licenses, franchises, and other general intangibles. Give particulars.	X			
24. Customer lists or other compilations containing personally identifiable information (as defined in 11 U.S.C. § 101(41A)) provided to the debtor by individuals in connection with obtaining a product or service from the debtor primarily for personal, family, or household purposes.	X			
25. Automobiles, trucks, trailers, and other vehicles and accessories.	X			
26. Boats, motors, and accessories.	X			
27. Aircraft and accessories.	X			
28. Office equipment, furnishings, and supplies.	X			
29. Machinery, fixtures, equipment and supplies used in business.	X			
30. Inventory.	X			
31. Animals.	X			
32. Crops - growing or harvested. Give particulars.	X			
33. Farming equipment and implements.	X			
34. Farm supplies, chemicals, and feed.	X			
35. Other personal property of any kind not already listed. Itemize.	X			

In re Pelikan Technologies, Inc.

Debtor

Case No. _____

(If known)

SCHEDULE B - PERSONAL PROPERTY

(Continuation Sheet)

TYPE OF PROPERTY	NONE	DESCRIPTION AND LOCATION OF PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION
<u>2</u> continuation sheets attached			Total	\$ 5,000.00

(Include amounts from any continuation sheets attached. Report total also on Summary of Schedules.)

In re Pelikan Technologies, Inc.Case No. _____
(If known)

Debtor

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS☒ Check this box if debtor has no creditors holding secured claims to report on this Schedule D.

CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE AND AN ACCOUNT NUMBER (See Instructions, Above.)	CODEBTOR	HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND VALUE OF PROPERTY SUBJECT TO LIEN	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL	UNSECURED PORTION, IF ANY
ACCOUNT NO. NONE			VALUE _____					

0

continuation sheets
attachedSubtotal >
(Total of this page)Total >
(Use only on last page)

\$ 0.00	\$ 0.00
\$ 0.00	\$ 0.00

(Report also on Summary of
Schedules)(If applicable, report
also on Statistical
Summary of Certain
Liabilities and
Related Data.)

In re Pelikan Technologies, Inc.

Debtor

Case No. _____

(If known)

SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS☒ Check this box if debtor has no creditors holding unsecured priority claims to report on this Schedule E.

TYPES OF PRIORITY CLAIMS (Check the appropriate box(es) below if claims in that category are listed on the attached sheets.)

☐ **Domestic Support Obligations**

Claims for domestic support that are owed to or recoverable by a spouse, former spouse, or child of the debtor, or the parent, legal guardian, or responsible relative of such a child, or a governmental unit to whom such a domestic support claim has been assigned to the extent provided in 11 U.S.C. § 507(a)(1).

☐ **Extensions of credit in an involuntary case**

Claims arising in the ordinary course of the debtor's business or financial affairs after the commencement of the case but before the earlier of the appointment of a trustee or the order for relief. 11 U.S.C. § 507(a)(3).

☐ **Wages, salaries, and commissions**

Wages, salaries, and commissions, including vacation, severance, and sick leave pay owing to employees and commissions owing to qualifying independent sales representatives up to \$11,725* per person earned within 180 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(4).

☐ **Contributions to employee benefit plans**

Money owed to employee benefit plans for services rendered within 180 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(5).

☐ **Certain farmers and fishermen**

Claims of certain farmers and fishermen, up to \$5,775* per farmer or fisherman, against the debtor, as provided in 11 U.S.C. § 507(a)(6).

☐ **Deposits by individuals**

Claims of individuals up to \$2,600* for deposits for the purchase, lease, or rental of property or services for personal, family, or household use, that were not delivered or provided. 11 U.S.C. § 507(a)(7).

☐ **Taxes and Certain Other Debts Owed to Governmental Units**

Taxes, customs duties, and penalties owing to federal, state, and local governmental units as set forth in 11 U.S.C. § 507(a)(8).

☐ **Commitments to Maintain the Capital of an Insured Depository Institution**

Claims based on commitments to the FDIC, RTC, Director of the Office of Thrift Supervision, Comptroller of the Currency, or Board of Governors of the Federal Reserve System, or their predecessors or successors, to maintain the capital of an insured depository institution. 11 U.S.C. § 507(a)(9).

☐ **Claims for Death or Personal Injury While Debtor Was Intoxicated**

Claims for death or personal injury resulting from the operation of a motor vehicle or vessel while the debtor was intoxicated from using alcohol, a drug, or another substance. 11 U.S.C. § 507(a)(10).

* Amounts are subject to adjustment on 4/01/13, and every three years thereafter with respect to cases commenced on or after the date of adjustment.

1 continuation sheets attached

In re Pelikan Technologies, Inc.

Debtor

Case No. _____

(If known)

SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR	HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM	AMOUNT ENTITLED TO PRIORITY	AMOUNT NOT ENTITLED TO PRIORITY, IF ANY
ACCOUNT NO.									\$0.00

Sheet no. 1 of 1 continuation sheets attached to Schedule of
Creditors Holding Priority Claims

Subtotals >
(Totals of this page)

\$	0.00	\$	0.00	\$	0.00
\$	0.00				
		\$	0.00	\$	0.00

Total >

(Use only on last page of the completed
Schedule E. Report also on the Summary of
Schedules.)

Total >

(Use only on last page of the completed
Schedule E. If applicable, report also on the
Statistical Summary of Certain Liabilities and
Related Data.)

In re Pelikan Technologies, Inc.
DebtorCase No. _____
(If known)**SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS**☐ Check this box if debtor has no creditors holding unsecured claims to report on this Schedule F.

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	DEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.						
AT&T Attn: Cust. Service NCO Financial Systems, Inc. 1804 Washington Blvd. Dept. 750 Baltimore, MD 21230		Trade Debt				5,566.14
ACCOUNT NO.						
Bovimed Attn: Kathrin Soldner Bovimed GmbH Im Oberfeld 2 Hengersberg, Germany						7,830.91
ACCOUNT NO.						
Clarus Lifesciences I, L.P Attn: Dennis Henner 801 Gateway Blvd., Ste. 410 So. San Francisco, CA 94080		Loan				3,338,101.00
ACCOUNT NO.						
Commerzbank - c/o Company Counsel Attn: Andrea Heuser Cornelius Bartenbach Haesemann Bismarckstrasse 11-13 Cologne, Germany D-50672		Guarantee	X			1,326,750.00
ACCOUNT NO.						
DLA Piper, LLP Attn: Bruce Jenett 2000 University Ave. East Palo Alto, CA 94303-2248		Legal Fees				369,972.00

4 Continuation sheets attached

Subtotal > \$ 5,048,220.05

Total > \$

(Use only on last page of the completed Schedule F.)
(Report also on Summary of Schedules and, if applicable on the Statistical
Summary of Certain Liabilities and Related Data.)

In re Pelikan Technologies, Inc.
DebtorCase No. _____
(If known)**SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS**

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.						10,210.00
Dr. Anthony Turner Silsoe Bedfordshire, MK454DT United Kingdom		Director Fees				
ACCOUNT NO.						460,570.00
Dr. Gerald Moeller Scheffelstrasse 20 Heidelberg, Germany D-69120		Wages				
ACCOUNT NO.						20,000.00
Ernst & Young Attn: Jason Peterson Dept. 6793 Los Angeles, CA 90084-6793		Accounting Fees				
ACCOUNT NO.						21,651.40
Ernst & Young Attn: Stephen Ludwig Graf-Adolf-Platz 15 Postfach 13 12 18 Dusseldorf, Germany 40003		Accounting Fees				
ACCOUNT NO.						12,000.00
Friedhelm Biobel 754 Ashby Dr. Palo Alto, CA 94301		Director Fees				

Sheet no. 1 of 4 continuation sheets attached to Schedule of Creditors
Holding Unsecured
Nonpriority Claims

Subtotal	>	\$	524,431.40
Total	>	\$	

(Use only on last page of the completed Schedule F.)
(Report also on Summary of Schedules and, if applicable on the Statistical
Summary of Certain Liabilities and Related Data.)

In re Pelikan Technologies, Inc.
DebtorCase No. _____
(If known)**SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS**

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.					X	0.00
German Tax Authorities Attn: Stephen Ludwig Ernst & Young Graf-Adolf-Platz 15 Dusseldorf, Germany 40213						
ACCOUNT NO.		Legal Fees				2,204,045.00
Goodwin Proctor, LLP Counselors at Law Attn: Paul Davis 135 Commonwealth Dr. Menlo Park, CA 94025-1105						
ACCOUNT NO.			X		X	0.00
Hartman c/o Proskauer Attn: Jeffrey Levitan Eleven Times Square New York, NY 10036-8299						
ACCOUNT NO.		Unpaid Royalties				100,000.00
Hartmann Attn: Dr. Michael Banz Paul-Hartmann Str. 12 Heidenheim, Germany 89522						
ACCOUNT NO.		Loan				3,860,191.00
HBM Bio Ventures (Cayman) Ltd. Attn: John Arnold Centennial Towers, Third Floor 2454 West Bay Road Grand Cayman, Cayman Islands						

Sheet no. 2 of 4 continuation sheets attached to Schedule of Creditors
Holding Unsecured
Nonpriority Claims

Subtotal	\$	6,164,236.00
Total	\$	

(Use only on last page of the completed Schedule F.)
(Report also on Summary of Schedules and, if applicable on the Statistical
Summary of Certain Liabilities and Related Data.)

In re Pelikan Technologies, Inc.
DebtorCase No. _____
(If known)**SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS**

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.						
Lombard Odier Darier Hentsch & CIE Attn: Christian Widmer 11 rue de la Corratarie Geneva, Switzerland CH-1204		Loan				1,158,356.00
ACCOUNT NO.						
Sheppard Mullin Richter & Hampton, LLP Attn: Hal Milstein 333 So. Hope St., 43rd Floor Los Angeles, CA 90071-1448		Legal Fees				18,202.00
ACCOUNT NO.						
The Global Life Science Ventures Fund II - GmbH & Co. KG Attn: Hanns-Peter Wiese Von-der-Tann Str. 7 Munich, Germany 80539		Note				351,801.00
ACCOUNT NO.						
The Global Life Science Ventures Fund II, LP - Attn: Joanne Baxter Alexander House -13-15 Victoria Rd. St. Peter Port, Guernsey GB13ZD United Kingdom		Note				274,323.00
ACCOUNT NO.						
Walker Informatics Consulting Attn: Jeff Perry 786 Alison Way Sunnyvale, CA 94087		Trade Debt				24,720.00

Sheet no. 3 of 4 continuation sheets attached to Schedule of Creditors
Holding Unsecured
Nonpriority Claims

Subtotal	>	\$	1,827,402.00
Total	>	\$	

(Use only on last page of the completed Schedule F.)
(Report also on Summary of Schedules and, if applicable on the Statistical
Summary of Certain Liabilities and Related Data.)

In re Pelikan Technologies, Inc.
DebtorCase No. _____
(If known)**SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS**

(Continuation Sheet)

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR HUSBAND, WIFE, JOINT OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ACCOUNT NO.						8,805.77
XO Communications c/o Access Receivables PO Box 9801 Towson, MD 21284-9801		Trade Debt				

Sheet no. 4 of 4 continuation sheets attached to Schedule of Creditors
Holding Unsecured
Nonpriority Claims

Subtotal	> \$ 8,805.77
Total	> \$ 13,573,095.22

(Use only on last page of the completed Schedule F.)
(Report also on Summary of Schedules and, if applicable on the Statistical
Summary of Certain Liabilities and Related Data.)

In re: Pelican Technologies, Inc.
DebtorCase No. _____
(If known)**SCHEDULE G - EXECUTORY CONTRACTS AND UNEXPIRED LEASES**☐ Check this box if debtor has no executory contracts or unexpired leases.

NAME AND MAILING ADDRESS, INCLUDING ZIP CODE, OF OTHER PARTIES TO LEASE OR CONTRACT.	DESCRIPTION OF CONTRACT OR LEASE AND NATURE OF DEBTOR'S INTEREST, STATE WHETHER LEASE IS FOR NONRESIDENTIAL REAL PROPERTY. STATE CONTRACT NUMBER OF ANY GOVERNMENT CONTRACT.
Eli Lilly and Company Lilly Corporate Center Indianapolis, IN 46285	Intellectual Property License
F. Hoffman-La Roche, Ltd. Grenzacherstrasse 124 CH-4070 Basel, Switzerland	Intellectual Property License

In re: Pelikan Technologies, Inc.

Debtor

Case No. _____

(if known)

SCHEDULE H - CODEBTORS

☒ Check this box if debtor has no codebtors.

NAME AND ADDRESS OF CODEBTOR	NAME AND ADDRESS OF CREDITOR
------------------------------	------------------------------

In re Pelikan Technologies, Inc.
Debtor

Case No. _____
(If known)

DECLARATION CONCERNING DEBTOR'S SCHEDULES

DECLARATION UNDER PENALTY OF PERJURY BY INDIVIDUAL DEBTOR

(NOT APPLICABLE)

DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP

I **Dominique M. Freeman**, the CEO of the Corporation named as debtor in this case, declare under penalty of perjury that I have read the foregoing summary and schedules, consisting of 15 sheets (*Total shown on summary page plus 1*), and that they are true and correct to the best of my knowledge, information, and belief.

Date September 27, 2011

Signature: /s/ Dominique M. Freeman
Dominique M. Freeman CEO
[Print or type name of individual signing on behalf of debtor.]

[An individual signing on behalf of a partnership or corporation must indicate position or relationship to debtor.]

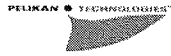
1 STEPHEN T. O'NEILL (115132)
RACHEL RAGNI LARRENAGA (241061)
2 MURRAY & MURRAY
A Professional Corporation
3 19400 Stevens Creek Blvd., Suite 200
Cupertino, CA 95014-2548
4 Telephone: (650) 852-9000; (408) 907-9200
Facsimile: (650) 852-9244
5 Email: soneill@murraylaw.com
Email: rragni@murraylaw.com
6

7 Attorneys for Debtor
8
9

10 UNITED STATES BANKRUPTCY COURT
11 NORTHERN DISTRICT OF CALIFORNIA
12 SAN JOSE DIVISION

13 In re:)	
14 PELIKAN TECHNOLOGIES, INC.,)	Case No.
15 Debtor(s).)	
16)	Chapter 7
17 1072 Meadow Circle)	
Palo Alto, CA 94303)	
18)	
19 Employer Tax I.D. No: 77-0571418)	

20 SCHEDULE B
21 ATTACHMENT NO. 22
22
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28



I.G APPENDIX A STATUS PELIKAN ISSUED AND ALLOWED PATENTS (US AND PCT)

I.G.1 Pelikan's Issued patents (US) to August 23rd 2011

*Agilent case prosecuted by Pelikan and counted in Pelikan assets (subsequently transferred)

# Of assets	HEWM # Agilent #	Patent Number	Date Issued	Title	Author/assignee
70	2895	7,988,645	August 2, 2011	Self optimizing lancing device with adaptation means to temporal variations in cutaneous properties	Freeman; Dominique, Alden; Don
69	2888	7,988,644	August 2, 2011	Method and apparatus for a multi-use body fluid sampling device with sterility barrier release	Freeman; Dominique Alden; Don, Boecker; Dirk), Cane; Michael; Schumann; Matt; Beadman; Michael
68	2897	7,981,056	July 19, 2011	Methods and apparatus for lancet actuation	Briggs; Barry, Freeman; Dominique, Boecker; Dirk, Alden; Don
67	2832	7,981,055	July 19, 2011	Tissue penetration device	Freeman; Dominique, Boecker; Dirk, Alden; Don, Briggs; Barry Dean, Leonard; Jon Hewitt, Freeman; Ray, Gogue; George
66	2854	7,976,476	July 12 2011	Device and method for variable speed lancet	Freeman; Dominique; Boecker; Dirk;
65	2878	7,959,582	June 14 2011	M&A for penetrating tissue	Freeman, Dominique; Boecker; Dirk ; Alden; Don
64	2850	7,938,787	May 10, 2011	M&A for penetrating tissue	Freeman, Dominique;
63	2879	7,914,465	March 29 2011	M&A for penetrating tissue	Freeman, Dominique; Boecker; Dirk ; Alden; Don
62	2893	7,909,778	March 22 2011	M&A for penetrating tissue	Freeman, Dominique; Boecker; Dirk ; Alden; Don
61	2851	7,909,777	March 22 2011	M&A for penetrating tissue	Freeman, Dominique; Boecker; Dirk ; Alden; Don
60	2899	7,909,775	March 22 2011	M&A for lancet launching device integrated onto a blood sampling cartridge	Alden, Don; Freeman, Dominique; Lum, Paul
59	2881	7,909,774	March 22 2011	M&A for penetrating tissue	Freeman, Dominique; Boecker; Dirk ; Alden; Don
58	2889	7,901,365	March 8 2011	M&A for penetrating tissue	Freeman, Dominique; Boecker; Dirk ; Alden; Don

# Of assets	HEWM # Agilent #	Patent Number	Date Issued	Title	Author/assignee
57	2649	7,901,362	March 8 2011	M&A for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
56	2933	7,892,185	February 22 2011	M&A for body fluid sampling and analyte sensing	Freeman; Dominique;; Alden; Don;
55	2688	7,892,183	February 22 2011	M&A for body fluid sampling and analyte sensing	Boecker, Dirk; Freeman, Dominique;; Alden, Don
54	2874	7,875,047	Jan 25 2011	Method and apparatus for a multi-use body fluid sampling device with sterility barrier release	Freeman; Dominique; Alden; Don; Boecker; Dirk; Cane; Mike; Schumann; Matt; Beadman; Mike
54	2860	7,874,994	Jan 25 2011	M&A for penetrating tissue	Boecker; Dirk ; Alden; Don Freeman, Dominique;
53	2927	7,862,520	January 4, 2011	Body fluid sampling module with a continuous compression tissue interface surface	Briggs; Barry, Freeman; Dominique, Boecker; Dirk, Alden; Don
52	2826	7,850,622	Dec 14 2010	Tissue penetration device	Freeman; Dominique;; Boecker; Dirk ; Alden; Don; Briggs, Barry; Leonard, John; Freeman, Ray
51	2801	7,850,621	Dec 12 2010	M&A for body fluid sampling and analyte sensing	Briggs; Barry Dean; Marsot; Travis; Hegener; Jason; Freeman; Dominique M.; Boecker; Dirk; Alden; Don; Schumann; Matt;; Beadman; Mike;
50	2830	7,841,992	November 30, 2010	Tissue penetration device	Freeman; Dominique, Boecker; Dirk, Alden; Don, Briggs; Barry Dean, Leonard; Jon Hewitt, Freeman; Ray, Gogue; George
49	2880	7,833,171	Nov 16 2010	Method and apparatus for penetrating tissue	Freeman; Dominique M., Boecker; Dirk,Alden, Don;
48	2768	7,822,454	October 26, 2010	Fluid sampling device with improved analyte detecting member configuration	Alden; Don, Marsot; Travis
47	2921	7,798,979	September 21, 2010	Method and apparatus for penetrating tissue	Freeman; Dominique M., Boecker; Dirk,Alden, Don;
46	2563	7,780,631	Aug 24, 2010	Apparatus and method for penetration with shaft having a sensor for sensing penetration depth	Lum, Paul; Melton, Hewlett; Simons, Tad; Greenstein, Michael; Freeman, Dominique
45	2668	7,749,174	July 6, 2010	Method and apparatus for lancet launching device	Alden, Don; Freeman;



# Of assets	HEWM # Agilent #	Patent Number	Date Issued	Title	Author/assignee
				intergrated onto a blood-sampling cartridge	Dominique M., Lum, Paul
44	2877	7,731,729	June 8 2010	M&A for penetrating tissue	Freeman; Dominique M., Boecker; Dirk; Alden, Don;
43	2650	7,717,863	May 18 2010	M&A for penetrating tissue	Freeman; Dominique M., Alden, Don; Boecker; Dirk,
42	2609	7,713,214	May 11, 2010	Method and apparatus for a multi-use body fluid sampling device with optical analyte sensing	Freeman; Dominique M., Boecker; Dirk,
41	2606	7,708,701	May 4 2010	Method and apparatus for a multi-use body fluid sampling device	Boecker; Dirk, Freeman; Dominique M., Wittig; Michael
40	2670	7,699,791	April 20, 2010	Method and apparatus for improving success rate of blood yield from a finger stick	Alden; Don, Freeman; Dominique M. Lum; Paul, Drbal; Vladimir, Boecker; Dirk, Verdonk; Edward D.
39	2666	7,682,318	March 23, 2010	Blood sampling apparatus and method	Alden; Don, Freeman; Dominique M., Lum; Paul, Drbal; Vladimir, Templin; Catherine K., Boecker; Dirk
38	2635	7,674,232	March 9 2010	M&A for penetrating tissue	Boecker, Dirk; Alden, Don, Freeman, Dominique,
37	2600	7,666,149	Feb 23 2010	Cassette of lancet cartridges for sampling blood	Simons; Tad Decatur, Greenstein; Michael, Freeman; Dominique M.), Leonard; Leslie Anne, King; David A., Lum; Paul
36	2915	7,648,469	Jan 19 2010	M&A for penetrating tissue	Freeman, Dominique,; Boecker, Dirk; Alden, Don,
35	2641	7,648,468	Jan 19 2010	M&A for penetrating tissue	Freeman, Dominique,; Boecker, Dirk; Alden, Don,
34	2806	7,604,592	Oct 20 2009	M&A for point of care device	Freeman, Dominique,; Boecker, Dirk; Alden, Don, Mauze, Ganapati
33	2647	7,582,099	Sep 1 2009	M&A for penetrating tissue	Freeman, Dominique,; Boecker, Dirk; Alden, Don
32	2816	7,582,063	Sep 1 2009	Blood testing apparatus having a rotatable cartridge with multiple lancing elements and testing means	Wurster, Thomas; Malowaniec, Krzysztof; Diekmann, Christoph
31	4013*	7,575,558	Aug 18 2009	Universal Diagnostic Platform	Boecker; Dirk, Pittaro; Rick, Greenstein; Michael, Higgins; Michael C.,



# Of assets	HEWM # Agilent #	Patent Number	Date Issued	Title	Author/assignee
					Freeman; Dominique M.
30	2634	7,563,232	Jan 9 th 2007	M&A for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
29	2646	7,547,287	June 16, 2009	M&A for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
28	2667	7,537,571	May 26, 2009	Integrated blood sampling analysis system with multi-use sampling module	Freeman; Dominique M. Mauze; Ganapati, Boecker; Dirk,
27	2639	7,524,293	April 28, 2009	M&A for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
26	2638	7,491,178	Feb 17 2009	M&A for penetrating tissue	Boecker, Dirk; Alden, Don, Freeman, Dominique
25	2644	7,485,128	Feb 3 2009	M&A for penetrating tissue	Boecker, Dirk; Alden, Don, Freeman, Dominique;;
24	2651	7,481,776	Jan 2009	M&A for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
23	2652	7,410,468	Aug 12 2008	M&A for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
22	2633	7,374,544	May 20 th 2008	M&A for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
21	2648	7,371,247	Jan 26 2007	M&A for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
20	2595	7,344,507	March 18, 2008	Method and apparatus for <i>lancet</i> actuation	Briggs; Barry Dean, Freeman; Dominique M., Alden; Don, Leonard; Jon Hewitt, Boecker; Dirk
19	2642	7,331,931	February 19, 2008	Method and apparatus for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
18	2593	7,316,700	January 8, 2008	Self optimizing lancing device with adaptation means to temporal variations in cutaneous properties	Alden; Don Freeman; Dominique M.
17	2662	7,297,151	November 20, 2007	Method and apparatus for body fluid sampling with improved sensing	Boecker; Dirk Freeman; Dominique M.
16	2640	7,297,122	November 20, 2007	Method and apparatus for penetrating tissue	Boecker; Dirk Alden; Don Freeman; Dominique M
15	2637	7,291,117	Nov 6 2007	Method and apparatus for penetrating tissue	Boecker; Dirk Alden; Don Freeman; Dominique M.



# Of assets	HEWM # Agilent #	Patent Number	Date Issued	Title	Author/assignee
14	2664	7,258,693	Aug 21 2007	Device and method for variable speed lancet	Freeman, Dominique;; Boecker, Dirk; Alden, Don
13	2636	7,244,265	July 17 2007	Method and apparatus for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
12	2643	7,229,458	June 12, 2007	Method and apparatus for penetrating tissue	Boecker, Dirk; Alden, Don; Freeman; Dominique M.
11	2645	7,232,451	June 9 2007	M&A for penetrating tissue	Freeman, Dominique;; Boecker, Dirk; Alden, Don
10	2607	7,226,461	June 5 2007	Method and apparatus for a multi-use body fluid sampling device with sterility barrier release	Boecker, Dirk; Wittig; Michael, Alden; Don, Cane; Michael, Schumann; Matt, Beadman; Michael
9	2608	7,198,606	April 3, 2007	Method and apparatus for a multi-use body fluid sampling device with analyte sensing	Boecker, Dirk; Freeman; Dominique M.
8	2592	7,175,642	February 13 2007	M&A for lancet actuation	Briggs, Barry D, Freeman, Dominique M, Alden, Don, Leonard, Jon;; Boecker, Dirk
7	2657	7,141,058	November 28 2006	Method and Apparatus for a body fluid sampling device using illumination	Briggs, Barry D, Freeman, Dominique
6	2702	D 531725	November 7 2006	Lancing Device	Loerwald, Dieter; Boecker, Dirk;; Alden, Don;; Freeman, Dominique
5	2671	D 523,555	April 17 2006	Lancing device user interface	Loerwald, Dieter; Boecker, Dirk;; Alden, Don;; Freeman, Dominique
4	2582	7,041,068	May 9 2006	Sample module device and method	Freeman; Dominique M. Boecker; Dirk Alden; Don Hartmann; Han Joachim; Weber; Lutz Pelikan Technologies
3	2594	7,033,371	April 25, 2006	Electric Lancet Actuator	Freeman, Dominique M; Alden, Don Pelikan Technologies, Inc
2	2551	7,025,774	April 11, 2006	Tissue Penetration Device	Freeman, Dominique M; Boecker, Dirk; Alden, Don; Briggs, Barry Dean; Leonard, Jon Hewitt; Freeman, Ray; Gogue, George Pelikan Technologies, Inc



# Of assets	HEWM # Agilent #	Patent Number	Date Issued	Title	Author/assignee
1	2557	7,001,344	February 21, 2006	Blood sampling device with diaphragm actuated lancet	Freeman, Dominique M; Drbal, Vladimir Pelikan Technologies, Inc

I.G.2 Pelikan's Issued Patents (International) to August 23rd 2011

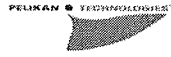
# Of assets	HEWM # Agilent #	Patent Number	Date Issued	Title	Author/assignee
19	4005 DE	196 42409.7-54	March 8 2011	A passively locked external cavity	Pelikan Technologies (Agilent)
18	2811 EP	4780949.6	Nov 11 2010	M & A for body fluid sampling with integrated analyte detecting member	Freeman, Dominique; Ross, James; Marsot, Travis; Lum, Paul; Mauze; Ganapati
17	2868 EP	1768577	Nov 3 2010	Tissue interface on a fluid sampling device	Deshmukh, Ajay; Hegener, Jason; Mauze, Ganapati; Von Deuring, Monika U; Freeman, Dominique
16	2703 EP	1395185	October 27 2010	Electric lancet actuator	Alden, Don; Freeman, Dominique;
14	2713 CA (2593 US) Smart lancing	2448902	Sep 22 2010	Self optimizing lancing device with adaptation means to temporal variations in cutaneous properties	Alden, Don; Freeman, Dominique;
13	2710 CA (2666 US) Seamless sampling module	2448905	Sep 22 2010	Blood sampling apparatus and method	Alden, Don; Freeman, Dominique; Lum, Paul; Drbal, Vladimir, Boecker, Dirk
12	2704 CA (2594 US) E Lancet	2448790	Sep 22 2010	Electric lancet actuator	Alden, Don; Freeman, Dominique;
11	4014 JP*	4406706	April 2010	Sensing depth	Pelikan Technologies
10	2800 EP (2799 US) Bee sting	04754025.7	May 5 th 2010	M&A for fluid injection	Pelikan Technologies
9	2712 EP (2593 US) Smart lancing	1404233	Feb 19 2010	Self optimizing lancing device with adaptation means to temporal variations in cutaneous properties	Pelikan Technologies
8	2709 EP (2666 US) Seamless sampling	1404232	Feb 19 2010	Method and apparatus for body fluid sampling with improved sensing	Pelikan Technologies

# Of assets	HEWM # Agilent #	Patent Number	Date Issued	Title	Author/assignee
	module				
7	2847 HK (2699 PC, 2662 US) Mithril low volume	HK 1087905	Sep 2 2008	Methods and apparatus for body fluid sampling	Pelikan Technologies
6	2708 JP (2667 US) Multiple glucose	4147471	July 18 2008	Integrated blood sampling analysis system with multi use sample module	Pelikan Technologies
5	2705 JP (2594 US) E Lancet	2003-503277	June 23 rd 2008	Electric Lancet Actuator	Pelikan Technologies
4	2817 HK Hartmann	HK1054311	June 13 2008	Blood analysis apparatus	Pelikan Technologies
3	2814EP Hartmann	EP 1 337 182 B1 501 13 793.9-08 DE	March 26 2008	Blood analysis apparatus	Pelikan Technologies
2	2774CN (2669 PC, 2662 US) Mithril low volume	ZL200380108164.6	Jan 16 2008	Methods and apparatus for body fluid sampling	Pelikan Technologies
1	2818JP Hartmann	3970768	June 29 2007	Blood analysis device for determining an analyte	Pelikan Technologies

I.G.3 Pelikan's Allowed patents (US and International) to August 23rd 2011

# Of assets	HEWM # Agilent #	Application Number	Date allowed	Title	Author/assignee
19	2833 2551 D14 TPD strategic drum of M1s	11/388,769	Aug 22 2011	Tissue Penetration Device	Freeman, Dominique
18	2936 (2644 D1) Strategic seals + UI	12/277,567	July 29 2011	Method and apparatus for penetrating tissue	Freeman; Dominique; Alden; Don;
17	2786 (2594 C1) E lancing	11/176,562	July 29 2011	Electric lancet actuator (Continuation of E Lancing)	Alden, Don
16	2821 TPD Elastic recoil	11/244,822	June 23 2011	Tissue penetration device	Freeman; Dominique M Boecker; Dirk; Alden; Don; Briggs; Barry; Leonard, Jon Hewitt, Freeman, Ray,; Gogue, George
15	2822 TPD FISO	11/318,276	June 3 2011	Tissue Penetration Device	Freeman; Dominique M Boecker; Dirk; Alden; Don; Briggs; Barry;

# Of assets	HEWM # Agilent #	Application Number	Date allowed	Title	Author/assignee
					Leonard, Jon Hewitt, Freeman, Ray,; Gogue, George
14	2861 (2638 D1) Strategic + UI + Low volume	11/550,954	June 3 2011	Method and apparatus for penetrating tissue	Freeman; Dominique M Boecker; Dirk; Alden; Don
13	2857 (2636 D1) Strategic + UI	11/539,542	June 3 2011	Method and apparatus for penetrating tissue	Freeman; Dominique M Boecker; Dirk; Alden; Don
12	2858 (2592* D1) Strategic E Lance	11/539,945	June 3 2011	Method and apparatus for lancet actuation	Briggs; Barry; Freeman; Dominique M; Alden; Don; Leonard, Jon Hewitt, Boecker; Dirk;
11	2824 Tent and hold	11/317,913	May 2 2011	Tissue penetration device	Freeman, Dominique
10	2859 (2634 D1) Strategic seals	11/548,533	April 25 2011	Method and apparatus for penetrating tissue	Boecker; Dirk; Alden; Don; Freeman; Dominique M.
9	2799 Bee sting	10/558,865	April 11 2011	Method and apparatus for fluid injection	Freeman; Dominique; Boecker; Dirk; Alden; Don;
8	2829 (2551 D10) Injection	11/317,912	April 11 2011	Tissue penetration device	Freeman, Dominique
7	2759 EP 2582 SMDM	PCT/US02/19188	Jan 20 2011	Sampling module device and method	Hartmann, Hans-Joachim, Weber, Lutz; Freeman, Dominique; Boecker; Dirk ; Alden; Don
6	4028 JP A&M for incising	H11 083,271	Nov 18 2010	A & M for incising	Pelikan Technologies (Agilent)
5	2717 EP Success rate	WO 2002100461	Nov 11 2010	M&A for improving success rate of blood yield from a finger stick	Alden Don; Freeman, Dominique; Lum, Paul; Drbal, Vladimir; Boecker, Dirk; Verdonk, Edward
4	2706 EP Multiple glucose	WO 02/101359	Aug 23 2010	Integrated blood sampling analysis system with multiuse sampling module	Boecker; Dirk; Freeman; Dominique; Mauze, Ganapati
3	2773 JP (2662 US) Mithril low volume M2	2005-502261	May 18 2010	M&A for body fluid sampling	Boecker, Dirk; Freeman, Dominique,;
2	2711 JP (2666 US) Seamless sampling module	10/363,508	Feb 2009	Blood sampling apparatus and method	Alden, Don; Freeman, Dominique; Lum, Paul; Drbal, Vladimir; Templin Catherine; Boecker, Dirk
1	2714 JP	2003-503081	July 31 2008	Self optimizing lancing device with adaptation means to	Freeman, Dominique,;



# Of assets	HEWM # Agilent #	Application Number	Date allowed	Title	Author/assignee
	(2593 US) Smart lancing			temporal variations in cutaneous properties	Boecker, Dirk; Alden, Don